VITA 2021

Judith F. Cox

Summary

Master's in clinical psychology

NYS Certified in School Psychology

Over 30 years of working in correctional health

Expert witness in correctional cases involving negligence& deliberate indifference in suicide and mental health NYSOMH Director of Forensic Services

National Forensic Consultant

Over 10 years' operating a wellness business

Certified Holistic Nutritionist, American Fitness Professionals & Associates, (AFPA) Certified Weight Management Specialist, (AFPA)

Certified Nutrition & Wellness Consultant, (AFPA)

EDUCATION

M.A., Clinical Psychology, State University of New York at Plattsburgh, 1972
B.A., Psychology, Syracuse University, 1969
Vermont Institute of Transactional Analysis. 1977
<u>CERTIFICATIONS</u>
New York State Certification in School Psychology, 1972
Certified Correctional Health Care Professional, 1998
Multiple Certifications in Health and Wellness
Women Owned Business Enterprise (WBE)
<u>MEMBERSHIPS</u>
American Foundation for Suicide Prevention, Capital District Chapter: Member of the Board 2007-2009

American Foundation for Suicide Prevention, Capital District Chapter: Member of the Board 2007-2009 American Correctional Association Academy of Correctional Health Professionals Co-Chair ADDA in Corrections Work Group National Commission on Correctional Health Care (NCCHC) member of the Mental Health Sub-committee of the Policy and Standards Committee Shenendehowa District Health and Wellness Committee NCCHC Educational Committee NCCHC Accreditation and Standards Committee

CURRENT EMPLOYMENT SERVICES

Expert witness in cases of negligence and deliberate indifference relating to failure to comply with national standards regarding suicide prevention and mental health care in police lockups, jails and prisons nationwide.

Wellness Services in the Albany New York area

EMPLOYMENT SYNOPSIS

Forensic consultant & owner of JFC Consultation Services, LLC (Forensic Services) Consultant for NCCHC Resources Wellness Consultant & owner of Fitness Professionals of Demand Lead Educator for NCCHC on Standards for Mental Health Services in Correctional Facilities New York State Office of Mental Health Statewide Director, Assertive Community Treatment, & Case management Statewide Director, Bureau of Forensic Services Statewide Deputy Director, Bureau of Forensic Services	2004-present 2014-present 2011-present 1999-present 1976-2004
Statewide Forensic Program Planner	
Satellite Chief of Services, Clinton Correctional Facility	
New York State Department of Corrections	1973-1976
Educational Analyst at the Clinton Correctional Facility	
Psychologist, Clinton Correctional Facility	
New York State Department of Labor	1972-1973
Employment Counselor, NYS Department of Labor	
New York State Department of Corrections	1969-1970
Psychologist Assistant	

JFC Consultation Services, LLC 7 Locust Lane Clifton Park, NY 12065 (518) 505-2669 <u>cox@nycap.rr.com</u>

Sample Consultant Services

Independent Contractor for NCCHC Resources, INC (Current)

<u>2019</u> Lead a team performing an assessment of the suicide prevention services provided during a two-day onsite review of the Allegheny County facilities. Based on assessment provide a written report of observations and recommendations based on the NCCHC Standards for Health Services and other industry (e.g., AIA) best practices and expert opinion.

2019 Consultation on local correctional mental health care consistent with NCCHC Standards to the Chatham County Sheriff's Office in the State of Georgia

2018 Consultant for NRI on Medication Assisted Treatment at a correctional facility in South Carolina

Consultation on local correctional Suicide Prevention Training to the Yellowstone County in Billings Montana (2017)

2016 Guest Speaker guest speaker at the Berkshire County's CIT for graduating police officers

Develop the written content for Relias Learning Course Suicide Prevention in Juvenile Correctional Facilities: part 1 and part 2. The program will be part of the e-leaning courses for facilities certified by the American Correctional Association (2014-2015)

National Institute of Corrections Technical Assistance on Mental Health Services at the Spokane County Detention Facilities, WA (11/7/15)

National Institute of Corrections Technical Assistance on Suicide Prevention Training to the Bureau of Indian Affairs, Division of Corrections in Crown pointe, NM (10/7/14)

National Institute of Corrections Technical assistance on suicide prevention to the Monroe County Sheriff's Office, Jail Bureau JUNE 2014

Consultation on Suicide Prevention Training to the Public Health Trust of Miami-Dade County (5/1/14-5/16/14

Court Monitor (2010-2011) for the Pierce County Correctional Facility, Tacoma Washington, Western District Court of Washington at Tacoma (Herrera vs. Pierce County).

Lead surveyor for the National Commission on Correctional Health Care (NCCHC), conducted health care standard compliance assessments nationwide in over 40 jails, prisons and juvenile facilities. These assessments evaluate compliance with over seventy national health care standards. As lead surveyor I was head of a team of one doctor and other clinical staff pending the size of the facility. I supervised their roles in assessing each standard against specific compliance indicators. Assessment activities included review of intake screening forms and procedures provided by officer, nursing & other clinical staff, review of clinical records, personal records administrative reports, death reports, major incidents involving health care, grievances as well as staff and inmate interviews and tour of the facility. During

this period, I also provided technical assistance for NCCHC to jails and Forensic Psychiatric Centers with major compliance concerns (1997-2009).

Consultant with the Correct Health Companies to work as a clinical team member conducting a health care audit at Maricopa Correctional Facility in Arizona (2009)

National Correctional Health Care Consultant to E-learning & Senior Advisor to Corrections Online Training Collaborative (COTC). Consultant to E-Learning LLC, & the American Correctional Association On-line Corrections Academy on learning management and training programs to enhance the health care skills of security and clinical staff (2009-2011)

Consultant to the State of New Mexico, Co-SIG Grant Pilot Project on the transition of persons with mental health and substance abuse disorders from prison to the community (2006-2008)

Consultant to Liberty Health Care Corporation on mental health care services and system needs within state correctional facilities (2008)

Consultant to the City of Albuquerque, N.M. on the development and implementation of Assertive Community Treatment (ACT) services, (2004 - 2006).

Consultant to Pathways to Housing providing training and support to Assertive Community Treatment Teams in the metropolitan New York City area (2002 - 2007).

Consultant for the National Council for Community Behavioral Health Care, (2004-2006). Educator on Medicare Prescription Drug Coverage Educator to mental health programs on suicide prevention Educator to mental health administrators on best practices for building clinical competencies within the existing work force

Consultant to the Calgary Police Services (Alberta, Canada) on the development of a training programs for police officers to identify and safely manage persons with mental illness, (1998).

Consultant to the Long Island Railroad Police on crisis intervention management training and critical incident debriefing following the Merillon Avenue fatal shooting incident, (1996).

Consultant to the National Institute of Corrections (NIC) on the development of a module on suicide and depression among juvenile offenders for the NIC publication, "Managing Youthful Offenders in Adult Institutions," (1995)

Consultant to the State University of New Jersey's National Transit Institute on the development of violence training program for bus operators, (1996).

Consultant to the State of New Hampshire Department of Corrections to provide technical assistance on mental health services, (1995).

Consultant to the Michigan State Department of Corrections to provide technical assistance and

training on suicide prevention, (1994 – 1995).

Consultant to the New York City Police Department on suicide prevention awareness for police officers, (1993).

Book Contributions

Cox, J.F. (2015) National Commission on Correctional Health Care, Standards for Mental Health Services in Correctional Facilities (2015) JF Cox recognized for her valuable input on the revisions

- Cox, J.F., & Lawrence, J.M (2009). Suicide Prevention in Correctional Facilities. In Amanda Ruiz, M.D., Joel Dvoskin, PhD, Charles Scott, M.D., and Jeffrey Metzner, M.D., (Eds) Handbook of Forms, Standards & Procedures for Correctional Mental Health. American Psychiatric Publishing, Inc. (Book is currently under review)
- Cox, J.F., & Hayes, L.M. (2003). A framework for preventing suicide in adult correctional facilities. In B. Schwartz (Ed.), *Correctional psychology practice, programming and administration*, (pp. 4-1, 4-20). Kingston, N.J.: Civic Research Institute.
- National Commission on Correctional Health Care. (2003). *Correctional mental health care standards and guidelines for delivering services* (Revised 2003). Chicago: Author. (J.F. Cox contributing author.)
- National Commission on Correctional Health Care. (1999). *Correctional mental health care standards and guidelines for delivering services*. Chicago: Author. (J.F. Cox adapted contributing author).
- Cox, J.F., Landsberg, G.M. McCarty, D.W., & Paravati, M.P. (1990). Suicide prevention in local jails and police lockups. In M.J. Rotheram-Borus, J. Bradley and N. Obolensky (Eds.), *Planning to live: Evaluating and treating suicidal teens in community settings* (pp. 317-332). Oklahoma City, Okla.: National Resource Center for Youth Services at the University of Oklahoma.

Journal Articles

Cox, J.F., Lawrence J.E. (2010) Planning Services for Elderly Inmates with Mental Illness. *Corrections Today 52-57*

- Fagan, T.J., Cox J.F., Helfand, S.J. and Aufderheide, D. (2010) Self-Injurious Behavior in Correctional Settings. Journal of Correctional Health Care 16(1) 48-66
- Banks, S., Cox, J.F., & Morschauser, P.C. (2001). A five-year population study of persons involved in the mental health and correctional system: Implications for service planning. *The Journal of Behavioral Health Services and Research*, 28(2), 177-187.

- Banks, S., Cox, J.F., Morschauser, P.C., Pandiani, J. & Stone, J. (2000). Utilization of local jails and general hospitals by state psychiatric center patients. *The Journal of Behavioral Health Services and Research*, *27*(4), 454-459.
- Banks, S., Cox, J.F., & Stone, J. (2000). Counting the mentally ill in jails and prisons. *Psychiatric Services Journal*, *51*(4), 454-459.
- Cox, J.F., & Morschauser, P.C. (1997). A solution to the problem of jail suicide. *The Journal of Crisis Intervention and Suicide Prevention*, 18(4), 178-184.
- Cox, J.F, Dvoskin, J.A., & McCormick, C.T. (1996). Services for parolees with serious mental illness. *Mentally Ill Offenders in the Community*.
- Cox, J.F. & Morschauser, P.C. (1993). Community forensic initiatives in New York State: Innovations and research in clinical services. *Community Support and Rehabilitation 2*(1), 29-38.
- Cox, J.F. & Landsberg, G. (1989). Introduction. In Cox, J.F. & Landsberg, G. (Eds.), and Special Issue on Jail Suicide, Part I: A comprehensive approach to a continuing national problem. *Psychiatric Quarterly*, *60*(1), 3-6.
- Cox, J.F., Landsberg, G., & Paravati, M.P. (1989). The essential components of a crisis intervention program for local jails: The New York local forensic suicide prevention crisis service model. In Cox, J.F. & Landsberg, G. (Eds.), and Special Issue on Jail Suicide, Part II: A comprehensive approach to a continuing national problem. *Psychiatric Quarterly*, *60*(2), 103-117.
- Cox, J.F., Landsberg, G. (1989). Future directions. In Cox, J.F. & Landsberg, G. (Eds.), and Special Issue on Jail Suicide, Part II: A comprehensive approach to a continuing national problem. *Psychiatric Quarterly*, 60(2), 185-188.
- Cox, J.F., Landsberg, G., McCarty, D.W., & Paravati, M.P. (1988). A model for crisis intervention services within local jails. *The International Journal of Law and Psychiatry*, 11, 391-407.

Special Reports

- Cox, J.F. (1997). New York State Office of Mental Health (NYSOMH) Forensic Task Force reports on the future of forensic services. Albany, N.Y.: Author.
- Cox, J.F. & Morschauser, P.C. (1997). *NYSOMH strategic framework: Relationships between mental health and law enforcement agencies*. Albany, N.Y.: Authors.
- Cox, J.F., Smith, H., Newton, J. F., Brown, C., Menon, A., Karker, J., Abreau, D., & Giglio, C. (1994). *An analysis of suicides within the New York State Department of Correctional Services*. Albany, N.Y.: Authors.
- Cox, J.F & Cecire, R. (1992). Assessment of New York City Department of Correction observation aide program. Albany, N.Y.: Authors.

- Cox, J.F., Dees, B., Landsberg, G., McCormick, C.T. & Way, B. (1991). NYSOMH Forensic Task Force report for the Commissioner. Albany, N.Y.: Authors.
- Cox, J.F., Paravati, M.P., & Way, B. (1986). *Psychiatric hospitalization rates among jail inmates: Program implications*. Unpublished.
- Cox, J.F. (1979). Report to the Bureau of Forensic Services: Mental health service needs in upstate New York county jails. Albany, N.Y.: Author.

Program Guidelines, Training Manuals & On-Line Curricula

(Law Enforcement)

- Obremski, F., Wendell, C., Cox, J.F., Hickey, J., Louden, R., & Anderson, S., (1996). *Crisis Intervention & Management for Transit Crews Instructional Guide and Student Handbook.* Washington, D.C.: U.S. Department of Transportation, Federal Transit Administration.
- Cox, J.F., Boland, L.F., Morschauser, P.C., & McCormick, C.T., (1991). *Police-Mental Health Training Program – Trainer's Manual and Officer's Guide* (Revised 1991). Utica, N.Y.: Utica Print.

(Correctional Facilities)

- Cox, J.F. (2010) Adult and Juvenile Offenders with Developmental Disorders (awaiting *Publication* at the American Corrections Staff Training Library www.aca.org/onlinecorrections
- Cox, J.F. (2010) *Mental Health and Physical Disorders Among Older Inmates*. Online Correctional Academy & Essential Learning. Available as an online course at the American Corrections Staff Training Library www.aca.org/onlinecorrections
- Cox, J.F. (2009)_Overview of Suicide Prevention for Correctional Professionals. Online Correctional Academy & Essential Learning available as an on-line course at the American Corrections Staff Training Library www.aca.org/onlinecorrections
- Cox, J.F. (2009)_*Strategies for Reducing Suicides in the Jails*. Online Correctional Academy & Essential Learning. Available as an on-line course-line course at the American Corrections Staff Training Library www.aca.org/onlinecorrections www.essentiallearning.com
- Cox, J.F. (2009) Suicide *Prevention in Juvenile Facilities*. Online Correctional Academy & Essential Learning. Available as an on-line course-line course at the American Corrections Staff Training Library www.aca.org/onlinecorrections www.essentiallearning.com
- Cox, J.F. (2009)_Senior management Strategies Following a Suicide in Correctional. Online Correctional Academy & Essential Learning. Available as an on-line course-line course at the American Corrections Staff Training Library <u>www.aca.org/onlinecorrections</u>

www.essentiallearning.com

- Cox, J.F. (1996). National Institute of Corrections Training Curriculum on Managing *Youthful Violent Offenders in Adult Institutions: Suicide Prevention and Depression*. Boulder, Colo.: National Institute of Corrections.
- Cox, J.F., Morschauser, P.C., Newton, J.F. & Himmelsbach, J., (1995). Suicide Prevention and Intervention in State Correctional Facilities Trainer's Manual and Student Handbook. Utica, N.Y.: Utica Print.
- Cox, J.F., Morschauser, P.C., Himmelsbach, J., & Paravati M.P., & Brown, C. (1994a). Suicide Prevention and Crisis Intervention in New York City Department of Correction – Basic Recruit Trainer's Manual. Utica, N.Y.: Utica Print.
- Cox, J.F., Morschauser, P.C., Himmelsbach, J., & Paravati M.P., & Brown, C. (1994b). Suicide Prevention and Crisis Intervention in New York City Department of Correction – Refresher Training Manual. Utica, N.Y.: Utica Print.
- Cox, J.F., McCormick, C.T. Grosser, R.C. & Conley, K.E., (1994). *Mental Health Services in Local Correctional Facilities: Staffing and Core Services Guidelines*. Unpublished.
- Cox, J.F., Morschauser, P.C., Taisey, J.R., Himmelsbach, J., & Paravati M.P., & Brown, C. (1993 & revised 2002). *Suicide Prevention and Crisis Intervention in County Jails and Police Lock-Ups Refresher Training Manual.* Utica, N.Y.: Utica Print.
- Cox, J.F., Morschauser, P.C., McCormick, C.T., & Himmelsbach, J. (1993). *Mental Health Services within the Criminal Justice System A Training Program for Mental Health Service Providers*. Utica, N.Y.: Utica Print.
- Cox, J.F., Morschauser, P.C., Paravati, M.P., McCormick, C.T., & Miraglia, R.P. (1993). *The Mental Health Resource Handbook for Human Service Personnel Serving the Local Correctional Population*. Utica, N.Y.: Utica Print.
- Cox, J.F., Morschauser, P.C., Himmelsbach, J., Paravati M.P., Leahy, L.V., & Sherman, L.G. (1986& 1994). Suicide Prevention and Crisis Intervention in County Jails and Police Lock-Ups Basic Program Trainer's Manual and Officer's Handbook. (Revised 2004.) Utica, N.Y.: Utica Print.
- Cox, J.F., McCarty Dennis W., Paravati, M.P., Landsberg, G.S., & Sherman, Laurie Sherman (1985): *The New York State Local Forensic Suicide Prevention Crisis Model, Policy and Procedure Manual*, Utica, N.Y.: Utica Print.

(Assertive Community Treatment & Case Management)

Lead author of the following New York State Office of Mental Health (NYSOMH) Resources: Assertive Community Treatment (ACT) Program Guidelines Part 508 Medical Assistance Rates of Payment for ACT Services ACT Basic Training Program for Chief Executive Officer/Staff ACT Kick-Off Meetings ACT Basic Training Program Procedures for ACT Shadow Sites in New York State ACT Basic Training Program Shadow Books ACT Basic Training Program Trainer's Manual & Instructor's Handout & consultation tools ACT Integrated Dual Disorder Treatment – Specialist Training Blended Case Management Program Guidelines

PRESENTATIONS

National Commission on Correctional Health Care (NCCHC) and the Academy of Correctional Health Professionals, **2021** Spring Virtual Conference "A Review of NCCHC's Standards for Mental Health Services in Correctional Facilities" one-hour virtual training

National Commission on Correctional Health Care (NCCHC) and the Academy of Correctional Health Professionals, **2020** Spring & Fall Conferences "A Review of NCCHC's Standards for Mental Health Services in Correctional Facilities" one-hour virtual training (April 2020 & October 2020)

National Commission on Correctional Health Care (NCCHC) and the Academy of Correctional Health Professionals, Fall and Spring Conferences "An In-Depth Look at NCCHC's Standards for Mental Health Services in Correctional Facilities" eight-hour workshop, (May 2005-October 2019).

National Commission on Correctional Health Care (NCCHC) Three-hour presentation on the 2015 Standards for Mental Health Services in Correctional Facilities at the NYS Correctional and Behavior Health Workshop entitled "Understanding the Present and Preparing for the Future", (November 2015).

National Commission on Correctional Health Care (NCCHC) and the Academy of Correctional Health Professionals, Fall Conference "Adults with ADHD in Jails: Why it Matters", (October 28, 2013).

National Commission on Correctional Health Care (NCCHC) and the Academy of Correctional Health Professionals, Fall Conference "Adults with ADHD in Corrections, Round Table discussion", (October 29, 2013).

•National Commission on Correctional Health Care (NCCHC) and the Academy of Correctional Health Professionals, Fall Conference "Adults with ADHD in Jails: Why it Matters", (October 28, 2013).

Florida Council on Crime and Delinquency 84th Annual Criminal Justice Training Institute Fall Conference "Suicide Prevention in the Correctional Environment", (Aug 28,2013).

Capital District Physicians Health Plan, Workshop "Stress Management", (September 24 & October 1, 2013).

Web Cast two-hour presentation *Strategies for Reducing Suicides in the Jails*, sponsored by American Correctional Association and E-learning (2009)

National Commission on Correctional Health Care (NCCHC) and the Academy of Correctional Health Professionals, Fall Conferences "Implementing Behavior Coaching for ADHD in the Correctional Setting", multiple author workshop October 2009).

National Commission on Correctional Health Care (NCCHC) and the Academy of Correctional Health Professionals, Spring Conference "Treatment Planning for Persons at Risk of Suicide Guidelines" (April 2009).

Indiana Department of Corrections Suicide Prevention Conference "Treatment Planning with the Juveniles at Risk for Suicide: A Public Health Approach" September 2009

SAMHSA Suicide Prevention Grantee Technical Assistance Meeting December 12-15, 2006, *Practical Strategies for Preventing Juvenile Suicides in Confinement Settings*.

National Commission on Correctional Health Care (NCCHC) and the Academy of Correctional Health Professionals, Fall Conference "Action Planning for Prevention and Recovery" (May 2006).

National Council for Community Behavioral Healthcare, 35th Annual Training Conference, "Practical Strategies in Reducing Jail suicides" (April 2006).

National Council for Community Behavioral Healthcare, 35th Annual Training Conference "Making Training Stick: Best Practices in Staff Training" (April 2006).

National Council for Community Behavioral Healthcare, 35th Annual Training Conference, *Safety and Risk Management for Clinicians and Their Supervisors in the Community*" (March 2005).

National Commission on Correctional Health Care (NCCHC) and the Academy of Correctional Health Professionals, 9th Spring Conference: Clinical Updates in Correctional Health Care, Chicago, Ill., "An In-Depth Look at NCCHC's Mental Health Care Guidelines" (May 2004).

NCCHC and the Academy of Correctional Health Professionals, 27th National Conference on Correctional Health Care, Austin, Texas, "An In-Depth Look at NCCHC's Mental Health Care Guidelines" (October 2003).

NCCHC and the Academy of Correctional Health Professionals, 8th Spring Conference: Clinical Updates in Correctional Health Care, Anaheim, Calif., "An In-Depth Look at NCCHC's Mental Health Care Guidelines" (May 2003).

NCCHC and the Academy of Correctional Health Professionals, 26th National Conference on Correctional Health Care, Nashville, Tenn., "An In-Depth Look at NCCHC's Mental Health Care Guidelines" (October 2002).

Colorado Jail Association, Annual Training, Brighton, Colo., "Suicide and Suicide Litigation," (August 2002).

Mental Health in Corrections Consortium, Inc., 12th Annual Mental Health in Corrections Symposium, Kansas City, Mo., "NCCHC's 2002 Revised Jail and Prison Standards: A Mental Health Perspective," (May 2002).

- NCCHC and the Academy of Correctional Health Professionals, 7th Spring Conference: Clinical Updates in Correctional Health Care, Fort Lauderdale, Fla., "An In-Depth Look at NCCHC's Mental Health Care Guidelines" workshop, (April 2002).
- NCCHC and the Academy of Correctional Health Professionals, 25th National Conference on Correctional Health Care, Albuquerque N.M., "An In-Depth Look at NCCHC's Mental Health Care Guidelines" workshop, and "In-Depth Standards for Health Services in Prisons," with Jaye Anno, (November 2001).
- NYSOMH, Best Practices Conference, Brooklyn, N.Y., "Case Management and Assertive Community Treatment and Suicide Prevention in Local Jails," (June 2001).
- NCCHC and the Academy of Correctional Health Professionals, **6th Spring Conference:** Clinical Updates in Correctional Health Care, Las Vegas, Nev., "An In-Depth Look at NCCHC's Mental Health Care Guidelines" workshop, (May 2001).
- NCCHC, 24th National Conference on Correctional Health Care, St. Louis, Mo. "An In-Depth Look at NCCHC's Mental Health Care Guidelines" workshop, (September 2000).
- NCCHC and the Certified Correctional Health Professionals, 5th Spring Conference: Clinical Updates in Correctional Health Care, New Orleans, La., "An In-Depth Look at NCCHC's Mental Health Care Guidelines" workshop, (March 2000).
- NCCHC, 23rd National Conference on Correctional Health Care, Ft. Lauderdale, Fla., "An In-Depth Look at NCCHC's Mental Health Care Guidelines" workshop, (November 1999).
- National Association of State Mental Health Program Directors (NASMHPD), Annual Forensic Conference, Tarrytown, N.Y., "The Relationship between the Mental Health and Criminal Justice Systems in New York State, 1991 1995," (October 1999).
- Conference of Mental Hygiene Directors, Lake Placid, N.Y., "A Five-Year Population Study Examining the Overlap between the Mental Health and Correctional Systems," (Spring 1998).
- NCCHC, 21st National Conference on Correctional Health Care, San Antonio, Texas, "Mental Health Services in Jails: Determining Staffing and Core Services Guidelines," (November 1997).
- NASMHPD, Annual Forensic Conference, Annapolis, Md., "Suicide Prevention in Local Correctional Facilities," (September 1997).
- New York State Commission of Correction, 3rd Annual Correctional Health Care Conference, Saratoga, N.Y., "Suicide Prevention," (November 1996).
- Orange County Community College's Institute for Business, Industry and Government, Conference on Behavioral Health Issues in Correctional Settings, Middletown, N.Y., "Critical Mental Health Issues in Corrections," with William Gamble, (November 1996).

- New York State Alliance of Sex Offender Service Providers and the Association for the Treatment of Sexual Abusers, Conference on Charting the Future: Integrating Approaches to Policy, Supervision and Treatment of Sex Offenders, Albany, N.Y., "Is Treatment Effective?" panel presentation with Robert Freeman-Longo, John Hunter, and Vernon Quinsey, (May 1996).
- New York Sheriff's Association, 10th Annual Undersheriff's Conference, Latham, N.Y., "Suicide Prevention in Local Jails," (May 1996).
- Center for Disease Control and Prevention, National Center for Injury Prevention and Control, and the University of Iowa Injury Prevention Research Center, National Violence Prevention Conference: Bridging Science and Program, Des Moines, Iowa, "Suicide Prevention in Prisons, Jails and Detention Centers," with Nancy Gautreau, Lindsay Hayes, and Michel O'Toole, (October 1995).
- F-E-G-S and New York University's Shirley M. Ehrenkranz School of Social Work, Conference on Proactive Strategies for Community Integration of the Mentally III Offender: A Challenge for America, New York, N.Y., "Staff Development Workshop," with Julia D'Angelo, Nancy Giagnacova, Robert Louden, and Marjorie Rock, (May 1995).
- American Association of Suicidology, 27th Annual Conference, New York, N.Y., "Jail Suicide Prevention: A Multifaceted Program," with Lindsay Hayes, Joseph Himmelsbach, Pamela Morschauser, and Edward Szostek, (April 1994).
- New York State Division of Criminal Justice Services, **Annual Forensic Conference**, Glens Falls, N.Y., "Police Employment Assistance Program" panel, (March 1994).

CNN broadcast presentation, "New York State Jail Suicide Model," with Peter Paravati, (May 1990).

PROFESSIONAL EXPERIENCE

Mental Health Consultant, 2004 – present

JFC Consultation Services, LLC, Clifton Park, N.Y.

National consultant and expert witness on suicide prevention and mental health standards in correctional facilities.

Specialty areas:

- Mental health services in adult correctional and juvenile facilities (minimum standards & best practices)
- Suicide system autopsies and corrective actions
- Suicide intake screening, assessment and treatment planning in corrections
- Integrating a correctional, medical and mental health response to suicide prevention

Wellness Services, 2011-present

JFC Consultation Services, LLC, Clifton Park, N.Y.

Owner and manager of a women's certified wellness company offering health education, stress management, fitness and weight management services to employees in large a small businesses

Director of Assertive Community Treatment and Case Management, 1999-2004

New York State Office of Mental Health, Albany, N.Y.

Led the statewide expansion of Assertive Community Treatment (ACT) in New York State, a community-based, multidisciplinary program providing recovery-focused services in the home environment to persons with serious mental illness. Directed the redesign of the program to infuse evidence-based mental health services within the program's practices, and to establish ACT as a Medicaid program. Grew the program from 28 ACT teams in New York State to 71 teams serving more than 20,000 adults each year. Led the development of regulations, program guidelines and training programs for this expanding system, and was responsible for operational oversight of the statewide program.

Director of Case Management and Prevention, 1997 – 1999

New York State Office of Mental Health, Albany, N.Y.

Directed the 140 Intensive and Supportive Case Management Programs in New York State, which serve more than 17,000 persons with serious mental illness. Led the development of New York State's first conference on preventive services for mental illness. Conducted other activities to support early intervention and preventive services within the NYS mental health system.

Director, Bureau of Forensic Services, 1995 – 1997

New York State Office of Mental Health, Albany, N.Y.

Directed the Bureau of Forensic Services, an operational division of the NYSOMH. Provided oversight and direct service responsibilities for persons with mental illness in the criminal justice system. In this position served as the central officer admistrator for the New York State Office of Mental Health with responsibility for three major forensic psychiatric hospitals, the outpatient treatment program in the NYS's 69 State Prison System and local assistance program for county jails located the 62 NYS counties. Among my specific responsibilities were policy approval for forensic mental health services in New York State, budget development, interface with NYS correctional and court systems, JCAHO accreditation, program development for mental health services in local jails in and community correctional facilities and general direction for services for criminal procedure law clients, dangerous civil clients, and inmates with mental illness in the NYS correctional system.

During this period, I served as senior cabinet member of the NYSOMH Central Office. Served on a select team, the NYS Division of Criminal Justice Services' Sex Offender Registry Guidelines Verification Team, to develop policy for implementing Megan's Law in New York State in 1995.

Deputy Director, Bureau of Forensic Services, 1988 – 1997

New York State Office of Mental Health, Albany, N.Y.

Managed the daily operations of the Bureau of Forensic Services, with a budget of \$80 million.

Directed the overall operations of three major psychiatric centers. Supervised an outpatient system with more than 200 clinical and support staff members in the New York State prison system and a community corrections component, which interfaces with local government units.

Led the NYSOMH in developing collaborative initiatives with the NYS Division of Parole and authored and negotiated a Memorandum of Understanding between the two agencies in 1994. Served as clinical director of a mental health unit in the Manhattan parole office, which provided assessment and treatment services to persons on parole in the New York City region. Consulted for the NYS Commission of Correction on cases of suicide by persons with a mental health history.

Assistant Director, Bureau of Forensic Services, 1982 – 1988

New York State Office of Mental Health, Albany, N.Y.

(4 major duties)

Developed and directed the Community Forensic Services Division of the Bureau of Forensic Services, for the purpose of designing and implementing programs to reduce suicides and provide appropriate mental health services in local correctional facilities across New York State.

Designed and led a statewide initiative to integrate New York State's law enforcement and mental health systems to facilitate safe encounters between the police and persons with mental illness. Developed a training curriculum for police on how to appropriately respond to persons with mental illness in the community (now mandated for all police officers in New York State), and training videos for police officers, emergency room personnel, and correctional facility staff.

Designed and led the statewide implementation of Suicide Prevention Program in all NYS jails and police departments. The program provided an intake screening form & process, structured training curricula and procedures for correction officers and health care staff to identify and appropriately respond to high-risk inmates. The program won New York State Governor's Award in 1988 and was implemented in all New York State jails, 70 police lockups and is recognized nationally.

NYSOMH interface with county sheriffs, police chiefs, and other state and local criminal justice administrators on planning for the needs of persons with mental illness in jails and community correctional programs. Provided technical assistance to counties on the development or expansion of mental health services for local forensic patients and coordination between emergency rooms and the police so as to reduce the unnecessary jail admission of persons with mental illness in the community. Initiated changes to the NYS Mental Hygiene Law to require representation by local correctional facilities on community planning boards. Reviewed reports of suicides in local jails to assist in preparing recommendations for corrective action.

Forensic System Integration Specialist, Bureau of Forensic Services, 1981 – 1982

New York State Office of Mental Health, Albany, N.Y.

Developed policies and guidelines for the provision of mental health services to forensic patients in the New York State prison system. Managed major projects such as the Uniform Treatment Record for forensic inpatient programs. Analyzed patient data for state budgetary documentation.

Program Planner, Division of Program Development, 1979 – 1981

New York State Office of Mental Health, Albany, N.Y.

Served as lead program staff member to the Governor's Task Force on Interagency Case Management, convened to establish a timely decision-making vehicle for agencies to coordinate the care of persons with high-risk needs across multiple agencies. Managed special planning projects such as: the design and implementation of a statewide survey to assess the mental health needs of locally incarcerated populations and the development of criteria to fund services for persons with chronic mental illness. Designed and administered a local forensic mental health information protocol to track mental health services in local jails.

Unit Chief, Clinton Correctional Facility, 1976 – 1979

New York State Office of Mental Health, Satellite Unit, Dannemora, N.Y.

Directed_the operation of a mental health unit located within a 2,000-bed maximum-security prison. Interfaced on behalf of the NYSOMH with the prison superintendent, executive staff and supervisory staff to coordinate mental health services. Provided individual and group clinical services to inmates with mental illness and assessed risk of violence to self and to others among mentally ill inmates. Supervised a multidisciplinary mental health team of four psychiatrists, two psychologists, one social worker, and five nurses. Provided consultation and education services to corrections officers.

Educational Analyst, New York State Department of Correctional Services, 1975 – 1976 Clinton Correctional Facility, Dannemora, N.Y.

Evaluated the intellectual functioning, educational needs and vocational interests of newly incarcerated male inmates to the New York State prison system. Developed educational and vocational achievement plans to be completed during their incarceration.

Psychologist, New York State Department of Correctional Services, 1973 – 1975

Adirondack Correctional Treatment and Evaluation Center, Dannemora, N.Y.

Administered two 30-bed rehabilitation units for male first offenders. Provided individual and group treatment to felons. Supervised correctional personnel and the multidisciplinary team administering the unit's behavior modification system.

Employment Counselor, 1972 – 1973

New York State Department of Labor, Plattsburgh N.Y.

Worked with persons with physical and emotional challenges seeking the services of a stateadministered employment office. Administered vocational placement and interest testing and arranged training and job placements for persons with physical and emotional problems, which presented obstacles to work. Provided supportive job-related counseling.

Psychologist Trainee, 1969 – 1970

Dannemora State Hospital for the Criminally Insane, Dannemora, N.Y.

Worked as a psychologist trainee on a multidisciplinary team of mental health professionals.

Committees, Boards & Panels

Chairman of National Survivor's Day Conference for the Capital District region 2007-2009

National Commission on Correctional Health Care, Mental Health Task Force, (2007).

American Foundation of Suicide Prevention, National Survivor's Day, Conference Chair (2006-2009).

- New Hampshire Dartmouth Psychiatric Research Center Conference, Implementing Evidence-Based Practices Planning Project, Baltimore, Md., (January 2002).
- University of Rochester Center for the Study and Prevention of Suicide, Scientific Consensus Meeting, Bethesda, Md., (June 2001).
- National Commission on Correctional Health Care, Jail/Prison Standards Revision Task Force, (2000).
- Australia and U.S. Round Table Discussion: "Early Detection and Intervention with Psychosis," New York Psychiatric Institute, (March 2000).
- Governor's Task Force Advisory Committee on State Incentives to Reduce Substance Abuse among Youth, (1999 2000).

Member, Board of Directors, Albany Chapter of the Samaritans, (1999).

- Challenges for Clinical Practice from a Forensic Panel, "Serving the Mentally III Offender: Perspectives from the U.S., Great Britain and Italy," with Gemma Brandi and Gianfranco Casciano, (March 1998).
- NYS Commission of Correction, Correctional Health Care Conference, (1997).
- National Association of State Mental Health Program Directors, Forensic Division, Executive Committee, Regional Coordinator for the Northeastern Region, (1996 1997).
- National Transit Institute's Violence Prevention Training Project, Expert Panel, State University of New Jersey Rutgers, (January 1996).
- NYS Division of Criminal Justice Services Sex Offender Registry Guidelines Verification Team, developed policy for implementing Megan's Law in New York State, (1995).
- NYS Division of Criminal Justice Services, Police Employment Assistance Program Panel, (1994).

NYS Division for Youth, Work Group for the Development of Intervention Strategies for Youth Who Engage in Suicide-Associated Behaviors in a Residential Setting, (1993).

Conference Planning

- Chair, first Mental Health Prevention Conference in New York State, *Prevention: Our Future Together*, and Mental Health Prevention Services: Evidence-Based Interventions for Practice, 1999.
- National Association of State Mental Health Program Directors, National Conference Planning Committee, 1997.
- Chair, NYS Office of Mental Health Statewide Conference, Forensic Mental Illness and Co-Occurring Substance Abuse Disorders, 1997.
- Chair, NYS Office of Mental Health Statewide Conference, Treatment Interventions for Persons with Violent and/or Criminal Histories (Policy Implications Regarding the Efficacy of Treatment for Sex Offenders), 1996.

GRANTS

- NYSOMH proposal (2000) for A Case Study in Prevention, awarded by the U.S. Department of Health and Human Services' Public Health Service, Substance Abuse and Mental Health Services Administration: \$10,000 federal, in-kind state share.
- NYSOMH proposal (1999) for Prevention in Mental Health: Research to Practice Conference, awarded by the U.S. Department of Health and Human Services' Public Health Service, Substance Abuse and Mental Health Services Administration: \$50,000 federal, \$56,000 state share.
- NYSOMH proposal (1984) for Supplemental Funding to Support the Forensic Suicide/Crisis Prevention Project, awarded by the National Institute of Mental Health's State Mental Health Human Resource Development Program: \$25,000 federal, in-kind state share.

HONORS & AWARDS

- Oneida County Sheriff's Department, Certificate of Appreciation, (on behalf of all sheriffs in New York State, for commitment to enhancing the lives of the mentally ill and making jails safe to operate), 1998.
- New York State Commission of Corrections and the New York State Sheriff's Association, Certificate of Appreciation, (for involvement in the Correctional Healthcare Symposium), 1995.
- New York State Commission of Corrections, Outstanding Leadership Award, (for outstanding leadership, dedicated service and friendship), 1995.

- New York City Police Department, Certificate of Appreciation, (in recognition of professional contribution to the department's film, "By Their Own Hand"), 1991.
- New York State Police Juvenile Officers Association, Inc., Certificate of Appreciation, (in recognition of distinguished service to the youth of New York State and the principles of the association), 1990.
- New York State Governor's Productivity Award, 1988 for the NYS Local Correctional Suicide Prevention Program
- New York State Office of Mental Health, Management/Confidential Special Achievement Award, (in recognition of exceptional contributions to the mission of the Office of Mental Health), 1987.
- Clinton Correctional Facility Inmates, "What is a Friend" plaque, (upon her appointment to a Central Office leadership position), 1979.

EXPERT WITNESS EXPERIENCE

1978 Federal District Court in Atlanta, Georgia. Witness in a criminal case, about 20 years ago involving an airline hijacker who subpoenaed me to testify at trial

2002 Beyer, (plaintiff) v. Johnson City, Tennessee, et al. Washington County Circuit Court, No.8059 (status settled)

<u>Summary</u>: The wrongful death claims and the inadequacy of the warnings on the Pro-Straint Violent Prisoner Chair that were provided to the Johnson City Jail by the AEDEC International, Inc, deposition taken. (plaintiff)

2004 The Estate of Delbert Steed (plaintiff) v. Corrections Corporation of America, Inc, et al United States District Court No. 1-03-1015 (status settled)

<u>Summary:</u> The deliberate indifference to the safety of Delbert Steed (a correctional Officer), which resulted in his brutal stabbing and death in the facility. The plaintiff alleges the defendant, Corrections Corporation of America, Inc., was deliberately indifferent to the safety of Delbert Steed and that this indifference resulted in his brutal stabbing and death, deposition not taken. (plaintiff)

2004 Christopher Paul Black (plaintiff) vs. The City of Shelbyville, Tennessee, The Shelbyville Police Department and al United States District Court Eastern District of Tennessee at Winchester (status settled).

<u>Summary:</u> The mental anguish and physical abuse inmate Mr. Black, incurred as related to his medical needs not being meant during his incarceration. (deposition taken) (Plaintiff)

2006 Sharon Frampton, personal representative of the Estate of Berta Ray Lee, deceased plaintiff vs., Multnomah County, in the circuit Court of the State of Oregon for the County of Multnomah <u>Summary</u>: A complaint for damages in a wrongful death regarding the suicide of Berta Ray, which occurred while she was confined in the Multnomah County Correctional Facility. She was admitted on December 17, 2004, and died on December 20, 2004, while in a single cell. Attorney: Susan M. Dunaway Assistant County Attorney Multnomah County Attorney's Office 501 SE Hawthorne Portland, Oregon 97214 (503) 988-3138) deposition not taken. (Defendant)

2006 United States District Court or The District of New Jersey Civil Action No. - 05-55(KSH) Estate of Edward Sinclair, Jr

<u>Summary:</u> A mother's lawsuit against the county and individual employees due to a claim of deliberate indifference to her 17-year-old son's serious medical needs, which she claimed resulted in his suicide. Attorney: Eugene Melody 732 219-0099 deposition not taken. (plaintiff)

2008 South Carolina District Court. Estate of Jeffrey William Waddell v. York County Detention Center et al.

<u>Summary</u>: An inmate with serious medical and mental health conditions died after being strapped to a chair for more than an hour while reportedly suffering an epileptic seizure. Attorney: McGowan Hood & Felder 1539 Health Care Drive Rock Hill, SC 29732 803-327-7800, deposition taken. (plaintiff)

2010 James Finch and Justin Finch a Minor, by and through his Next Friend, Kim Biondi, and the Estate of Ricky G. Finch, by and through the Temporary Administrator of his estate, James Nelson Finch, Plaintiffs, Against- Donald Shane Brown, in his individual capacity, Phillip Stanley, in his individual capacity, and Raymond Gailey, in his individual capacity, Defendants. Civil action file no: 2:10-CV-0062 –WCO filed September /9/2010 United States District Court Northern District of Georgia Gainesville Division.

<u>Summary:</u> A 58-year-old man without a serious criminal history who completed suicide w/in approximately seven hours after his arrest on public drunkenness. The intake officer as suicidal and complaining of chest pains screened him. Brian Spears P.C. Atlanta, GA 30306 Tele: (404) 872-7086, deposition taken. (plaintiff)

2011 Susan M. Buda, Personal Representative for The Estate of Robert L. Keegan, Deceased, Plaintiff, -Vs-Warden Susan Davis, Robert J. Brothers, II, M.A., L.L.P., and others Filed 5/11/2011 United States District Court Eastern District of Michigan Southern Division

<u>Summary:</u> a 47-year-old male completed suicide following a long history of major mental illness He was sent to a Michigan DOC psychiatric inpatient facility where Mr. Robert Brothers II was assigned to coordinate his treatment services consistent with the consensus of a multidisciplinary mental health team directing and providing Mr. Keegan's care. He was stabilized and discharged to a DOC residential mental health treatment program (RTU) where he completed suicide a few days after his admission to the RTU. Karl@HannumLawFirm.com www.HannumLawFirm.com, deposition not taken. (defendant)

2013 State of Georgia v. Richard Hill filed 9/7/10 in the Superior Court of Fulton County State of Georgia (settled)

2015 Michael Gurrieri v. The County of Suffolk, New York Commissioner Edward Weber, Sheriff Vincent F. Demarco, Commissioner James L. Tomarken, M.D. Huntington Hospital Association, Sarala K. Reddy, M.D., and Hohn and Jane Does 1-25 Filed 8/24/2012 United States District Court Eastern District of New York

<u>Summary</u>: 29-year-old male who attempted suicide at the jail by jumping from a second-floor level mezzanine of his housing pod suffering a spinal cord fracture and brain injury. (plaintiff)

2017 Gann v. Montgomery County, et al. S.D. TX. 4:14-cv-01575, S.D. Tex –civil action <u>Summary:</u> 32year old male who attempted suicide in a single cell in administrative segregation, 16 days following jail admission (plaintiff) (deposition taken)

2019 Dixie Roy, P.R. of the Estate of Shauna Landre (plaintiff) v. Paul Kimberling, LCPC and Quality Choice Correctional HealthCare of Maine, LLC, et al. (defendants) <u>Summary</u>: Opinion as to the defendant's actions or lack of action contributing to Shaunna Lander's suicide. (case not settled)

2017-2019 CONMED LLC f/k/a CONMED, INC., vs. PIERCE County: Superior Court of the State of Washington and for the County of Pierce-Civil Case

<u>Summary:</u> Opinion as to whether CONMED, Inc. failed to perform the critical terms of its contract with Pierce County and if Pierce County was reasonable in giving notice that any further payment to CONMED, Inc. would be withheld, and the contract terminated. (Testimony at Trial)

2020-ongoing State of Maine vs Correct Care Solutions Superior Court Civil Action in a wrongful death lawsuit at a Juvenile Facility

2020 -ongoing US District Court Civil rights case involving deliberate indifference to safety and health care of Plaintiff at a Tennessee Correctional Facility

SUMMARY OF FORENSIC SERVICES

I am a national consultant in correctional mental health care with a master's degree in clinical psychology and over 35 years of experience in correctional health care. I was a lead surveyor for the National Commission on Correctional Health Care (NCCHC) for ten years and served on multiple NCCHC Standards Revision Task Forces and in 2018 & 2019 on the NCCHC Accreditation and Standards Committee. I have provided consultation in over 150 prisons, jails and juvenile facilities across the nation as well as direct service for 10 years in a maximum security prison in New York State. Highlights of my experience include state-wide forensic director for the New York State Office of Mental Health and co-developer of two nationally recognized service models: a model to prevent suicides in police lock-ups, local jails and state prisons and a model to integrated police and mental health response to persons with emotional crises in the community. Currently I am employed as a national consultant and expert witness in mental health services specializing in criminal justice system needs, suicide prevention and services for persons with serious mental illness. Bi-annually I instruct for NCCHC an eight hour program on mental health standards of care for Correctional facilities.